

# BLOCH-KATO PRO- $p$ GROUPS AND LOCALLY POWERFUL GROUPS

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*To Professor Helmut Koch, with admiration on his 80th birthday.*

**ABSTRACT.** A Bloch-Kato pro- $p$  group  $G$  is a pro- $p$  group with the property that the  $\mathbb{F}_p$ -cohomology ring of every closed subgroup of  $G$  is quadratic. It is shown that either such a pro- $p$  group  $G$  contains no closed free pro- $p$  groups of infinite rank, or there exists an *orientation*  $\theta: G \rightarrow \mathbb{Z}_p^\times$  such that  $G$  is  $\theta$ -abelian. (See Thm B.) In case that  $G$  is also finitely generated, this implies that  $G$  is powerful,  $p$ -adic analytic with  $d(G) = cd(G)$ , and its  $\mathbb{F}_p$ -cohomology ring is an exterior algebra (see Cor. 4.8). These results will be obtained by studying locally powerful groups (see Thm A). There are certain Galois-theoretical implications, since Bloch-Kato pro- $p$  groups arise naturally as maximal pro- $p$  quotients and pro- $p$  Sylow subgroups of absolute Galois groups (see Corollary 4.9). Finally, we study certain closure operations of the class of Bloch-Kato pro- $p$  groups, connected with the Elementary type conjecture.

## 1. INTRODUCTION

Following [3] one calls a pro- $p$  group  $G$  a *Bloch-Kato pro- $p$  group* if the cohomology ring  $H^\bullet(K, \mathbb{F}_p)$  is a quadratic  $\mathbb{F}_p$ -algebra for every closed subgroup  $K$  of  $G$ . From the positive solution of the Bloch-Kato conjecture recently obtained by M. Rost and V. Voevodsky (with C. Weibel's patch) one knows that for every field  $F$  containing a primitive  $p$ th root of unity the maximal pro- $p$  quotient  $G_F(p)$  of the absolute Galois group  $G_F$  of  $F$  is a Bloch-Kato pro- $p$  group (see [24] and [25] for an overview of the proof, and [16], [26], [28], [29] for the foundation and completion of the proof).

The main goal of this paper is to establish a strong version of Tits alternative for Bloch-Kato pro- $p$  groups. (See [23] or [6] for the original Tits alternative on linear groups.) For this purpose we study in section 3 *locally powerful* pro- $p$  groups  $G$ , where we call a pro- $p$  group  $G$  locally powerful if every finitely generated closed subgroup  $K$  of  $G$  is powerful. In order to state the classification of torsion-free, finitely generated, locally powerful pro- $p$  groups effectively, we will introduce the notion of an *oriented pro- $p$  group*  $(G, \theta)$ , i.e.,  $G$  is a pro- $p$  group and  $\theta: G \rightarrow \mathbb{Z}_p^\times$  is a (continuous) homomorphism of pro- $p$  groups, where  $\mathbb{Z}_p$  denotes the ring of  $p$ -adic integers, and  $\mathbb{Z}_p^\times \subset \mathbb{Z}_p$  denotes its group of units. For an oriented pro- $p$  group  $(G, \theta)$  one has a particular closed subgroup

$$Z_\theta(G) = \left\{ h \in \ker(\theta) \mid ghg^{-1} = h^{\theta(g)} \text{ for all } g \in G \right\}$$

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which will be called the  $\theta$ -center of  $G$ . The oriented pro- $p$  group  $(G, \theta)$  will be called  $\theta$ -abelian, if  $Z_\theta(G) = \ker(\theta)$ . Thus every  $\theta$ -abelian pro- $p$  group is metabelian. Obviously, if  $\theta \equiv 1$  is constant equal to 1,  $Z_1(G)$  coincides with the center of  $G$ , and  $(G, 1)$  is 1-abelian if, and only if,  $G$  is abelian. In §3.4 we will prove the following theorem.

**Theorem A.** *A torsion-free finitely generated pro- $p$  group  $G$  is locally powerful if, and only if, there exists an orientation  $\theta: G \rightarrow \mathbb{Z}_p^\times$  such that  $(G, \theta)$  is  $\theta$ -abelian.*

Using Theorem A we will deduce in section 4 the following Tits alternative-type result for Bloch-Kato pro- $p$  groups (see Theorem 4.6).

**Theorem B.** *Let  $p$  be an odd prime, and let  $G$  be a Bloch-Kato pro- $p$  group. Then either  $G$  is  $\theta$ -abelian for some orientation  $\theta: G \rightarrow \mathbb{Z}_p^\times$  or  $G$  contains a closed non-abelian free pro- $p$  subgroup.*

For  $p$  odd, a result similar to Theorem B was already proved by R. Ware for maximal pro- $p$  Galois groups [27, Theorem 1 and Corollary 1]. His article was also a motivation for us to look for a Tits alternative in the class of Bloch-Kato pro- $p$  groups.

In the final section we will consider free and direct products as well as inverse limits of Bloch-Kato pro- $p$  groups. It will turn out that the class of Bloch-Kato pro- $p$  groups is closed under free pro- $p$  products (see Theorem 5.2), and under certain inverse limits (see Proposition 5.1). However, for direct products one has the following.

**Theorem C.** *Let  $G_1$  and  $G_2$  be Bloch-Kato pro- $p$  groups, and assume that  $G_1 \times G_2$  is Bloch-Kato as well. Then the following restrictions hold:*

- (i) *None of  $G_1$  and  $G_2$  is a powerful non-abelian Bloch-Kato group;*
- (ii) *at least one of the two groups is abelian.*

*Moreover,  $\mathbb{Z}_p \times S$  is a Bloch-Kato pro- $p$  group for any free pro- $p$  group  $S$ .*

The main reason for these last investigations is the connection with the Elementary Type conjecture for maximal pro- $p$  Galois groups.

## 2. PRELIMINARIES

We work in the category of pro- $p$  groups. Henceforth subgroups are to be considered closed and all generators are to be considered topological generators (in the sense of the pro- $p$  topology). For basic facts on Galois cohomology we refer to [18] or [20]. We abbreviate  $H^k(G)$  for  $H^k(G, \mathbb{F}_p)$  with the trivial  $G$ -action on  $\mathbb{F}_p$ . Thus  $H^\bullet(G) = \bigoplus_{k \geq 0} H^k(G)$  denotes the graded cohomology ring equipped with the cup product  $\cup$ .

The first Bockstein homomorphism  $\beta: H^1(G) \rightarrow H^2(G)$  is the connecting homomorphism arising from the short exact sequence of trivial  $G$ -modules

$$0 \longrightarrow \mathbb{Z}/p.\mathbb{Z} \longrightarrow \mathbb{Z}/p^2.\mathbb{Z} \longrightarrow \mathbb{Z}/p.\mathbb{Z} \longrightarrow 0.$$

When  $p = 2$  one has  $\beta(\chi) = \chi \cup \chi$  [4, Lemma 2.4].

If  $G$  is finitely generated, we denote by  $d(G)$  the minimal number of generators of  $G$ , namely  $d(G) = \dim(G/\Phi(G))$  as  $\mathbb{F}_p$ -vector space, where  $\Phi(G)$  is the Frattini subgroup of  $G$ . In particular, if  $d = d(G)$ , we say that  $G$  is  $d$ -generated. Moreover, the rank  $\text{rk}(G)$  of  $G$  is  $\sup\{d(K) \mid K \leq_c G\}$ . If  $G = S/R$  is a minimal presentation

for  $G$ , with  $S$  a free pro- $p$  group such that  $d(S) = d(G)$ , then the relation  $\text{rank } r(G)$  is the minimal number of generators of  $R$  as a closed normal subgroup of  $S$ . Moreover, it is well known that

$$d(G) = \dim_{\mathbb{F}_p}(H^1(G)) \quad \text{and} \quad r(G) = \dim_{\mathbb{F}_p}(H^2(G))$$

(see [18, Ch. III §9]).

Finally,  $xy = xyx^{-1}$ , and  $[x, y] = xy \cdot y^{-1}$  is the commutator of  $x$  and  $y$ , for  $x, y \in G$ .

As mentioned in the introduction, the maximal pro- $p$  Galois group  $G_F(p)$  of a field  $F$  containing the  $p$ th roots of unity  $\mu_p$  is a Bloch-Kato group. Indeed if  $\text{char } F = p$  then  $G_F(p)$  is a free pro- $p$  group (see [20, II §2.2]), which is Bloch-Kato since a free pro- $p$  group has cohomological dimension equal to 1.

Otherwise, for a profinite group  $G$  let  $\mathcal{O}^p(G)$  be the subgroup

$$\mathcal{O}^p(G) = \langle K \in \text{Syl}_\ell(G) \mid \ell \neq p \rangle,$$

where  $\text{Syl}_\ell(G)$  is the set of the Sylow pro- $\ell$  subgroups; namely  $G/\mathcal{O}^p(G)$  is the maximal pro- $p$  quotient of  $G$  [30, Proposition 2.1].

Let  $F(p)$  be the maximal  $p$ -extension of a field  $F$  with  $\text{char } F \neq p$ . Then the absolute Galois group of  $F(p)$  is  $G_{F(p)} = \mathcal{O}^p(G_F)$ . Since  $F(p)$  satisfies the hypothesis of the Bloch-Kato conjecture (i.e.,  $\text{char}(F(p)) \neq p$  and  $\mu_p \subseteq F(p)$ ), also the cohomology ring  $H^\bullet(\mathcal{O}^p(G_F))$  is quadratic.

Moreover, as  $\mathcal{O}^p(G_F)$  is  $p$ -perfect,  $H^1(\mathcal{O}^p(G_F)) = 0$ . Thus  $H^\bullet(\mathcal{O}^p(G_F)) = 0$ . This implies that in the Lyndon-Hochschild-Serre spectral sequence arising from  $1 \rightarrow \mathcal{O}^p(G_F) \rightarrow G_F \rightarrow G_F(p) \rightarrow 1$  the terms

$$E_2^{rs} = H^r(G_F(p), H^s(\mathcal{O}^p(G_F)))$$

vanish for  $s > 0$ , and the spectral sequence collapses at the  $E_2$ -term. Hence the inflation map  $H^n(G_F(p)) \rightarrow H^n(G_F)$  is an isomorphism for every  $n \geq 0$  [18, Lemma 2.1.2]. Thus  $H^\bullet(G_F(p))$  is quadratic as  $H^\bullet(G_F)$  is quadratic by the Bloch-Kato conjecture.

Note that all the  $p$ -Sylow subgroups of an absolute Galois group – for any prime  $p$  – are Bloch-Kato pro- $p$  groups (see [4, §9]).

Bloch-Kato pro- $p$  groups have been defined and studied the first time in [3]. A fundamental feature of Bloch-Kato groups is the following: if  $p$  is odd then a Bloch-Kato pro- $p$  group is *torsion-free* [3, Proposition 2.3], whereas the only non-trivial finite (pro-)2 Bloch-Kato groups are the elementary abelian 2-groups [3, Proposition 2.4].

If we keep in mind the Galois-theoretical background, this fact can be seen as an analogue of the celebrated Artin-Schreier theorem, which states that the only non-trivial finite subgroup of an absolute Galois group is  $C_2$ .

### 3. LOCALLY POWERFUL AND ORIENTED PRO- $p$ GROUPS

**3.1. Powerful pro- $p$  groups and Lie algebras.** A pro- $p$  group  $G$  is said to be *powerful* if

$$[G, G] \subseteq \begin{cases} G^p & \text{for } p \text{ odd,} \\ G^4 & \text{for } p = 2, \end{cases}$$

where  $[G, G]$  is the closed subgroup of  $G$  generated by the commutators of  $G$ , and  $G^p$  is the closed subgroup of  $G$  generated by the  $p$ -powers of the elements of  $G$ .

Let  $\lambda_i(G)$  be the elements of the lower  $p$ -descending central series of the pro- $p$  group  $G$ , namely  $\lambda_1(G) = G$  and  $\lambda_{i+1}(G) = \lambda_i(G)^p[\lambda_i(G), G]$ . In particular,  $\lambda_2(G)$  is the Frattini subgroup  $\Phi(G)$ . Then, a pro- $p$  group  $G$  is called *uniformly powerful*, or simply *uniform*, if  $G$  is finitely generated, powerful, and

$$|\lambda_i(G) : \lambda_{i+1}(G)| = |G : \Phi(G)| \quad \text{for all } i \geq 1.$$

Thus a finitely generated powerful group is uniform if, and only if, it is torsion-free (see [7, Theorem 4.5]).

Recall that a pro- $p$  group  $G$  is called locally powerful if every finitely generated closed subgroup  $K$  of  $G$  is powerful. Moreover, for uniform pro- $p$  groups, one has the following property:

**Proposition 3.1** ([7], Proposition 4.32). *Let  $G$  be a  $d$ -generated uniform pro- $p$  group, and let  $\{x_1, \dots, x_d\}$  be a generating set for  $G$ . Then  $G$  has a presentation  $G = \langle x_1, \dots, x_d | R \rangle$  with relations*

$$(3.1) \quad R = \left\{ [x_i, x_j] = x_1^{\lambda_1(i,j)} \cdots x_d^{\lambda_d(i,j)}, 1 \leq i < j \leq d \right\},$$

and for all  $i, j$  one has  $\lambda_n(i, j) \in p\mathbb{Z}_p$  if  $p$  is odd, and  $\lambda_n(i, j) \in 4\mathbb{Z}_2$  if  $p = 2$ .

If  $G$  is a uniform pro- $p$  group, then it is possible to associate a  $\mathbb{Z}_p$ -Lie algebra  $L = \log(G)$  to it (see [7, §4.5] and [14]), i.e.,  $L$  is the  $\mathbb{Z}_p$ -free module generated by the generators of  $G$ , equipped with the sum

$$(3.2) \quad x + y = \lim_{n \rightarrow \infty} x +_n y, \quad x +_n y = \left( x^{p^n} y^{p^n} \right)^{p^{-n}},$$

and the Lie brackets

$$(3.3) \quad (x, y) = \lim_{n \rightarrow \infty} (x, y)_n, \quad (x, y)_n = \left[ x^{p^n}, y^{p^n} \right]^{p^{-2n}}.$$

In analogy to pro- $p$  groups we say that a  $\mathbb{Z}_p$ -Lie algebra  $L$  is *powerful* if  $L \cong \mathbb{Z}_p^d$  for some  $d > 0$  as  $\mathbb{Z}_p$ -module, and the derived algebra  $(LL)$  is contained in  $pL$  (resp. in  $4L$  if  $p = 2$ ). It is well known that for a uniform group  $G$  the Lie algebra  $\log(G)$  is powerful.

**Remark 3.2.** (i) If  $G = \langle x_1, \dots, x_n \rangle$  is uniform, then it is possible to write every element  $g \in G$  as  $g = x_1^{\lambda_1} \cdots x_n^{\lambda_n}$ , with  $\lambda_i \in \mathbb{Z}_p$ , in a unique way. Thus the map

$$G \longrightarrow \log(G), \quad x_1^{\lambda_1} \cdots x_n^{\lambda_n} \longmapsto \lambda_1 x_1 + \dots + \lambda_n x_n$$

is a homeomorphism (in the  $\mathbb{Z}_p$ -topology) [7, Theorem 4.9].

(ii) If  $G$  is locally powerful and torsion-free, then every closed subgroup  $K$  of  $G$  is again a uniform group. Thus one can construct the Lie algebra  $\log(K)$ , which is in fact a subalgebra of  $\log(G)$ . In particular, the  $\mathbb{Z}_p$ -submodule  $\text{Span}_{\mathbb{Z}_p} \{x \in \Omega\}$  of  $\log(G)$  is closed under Lie brackets for every subset  $\Omega \subseteq G$ .

**3.2. Oriented pro- $p$  groups.** Let  $(G, \theta)$ ,  $\theta: G \rightarrow \mathbb{Z}_p^\times$ , be an oriented pro- $p$  group. For every closed subgroup  $K \leq_c G$ ,  $(K, \theta|_K)$  is again an oriented pro- $p$ . Notice that the image of  $\theta$  is a pro- $p$  subgroup of  $\mathbb{Z}_p^\times$ , thus  $\text{im}(\theta) \subseteq 1 + p\mathbb{Z}_p$ .

The following fact is straightforward.

**Fact 3.3.** *Let  $G$  be a pro- $p$  group, and let  $\theta, \theta': G \rightarrow \mathbb{Z}_p^\times$ ,  $\theta \neq \theta'$ , be two distinct continuous homomorphisms such that  $G$  is both  $\theta$ - and  $\theta'$ -abelian. Then  $G$  is a closed subgroup of  $\mathbb{Z}_p^\times$ .*

The following property will turn out to be useful for our purpose.

**Proposition 3.4.** *Let  $(G, \theta)$  be an oriented  $d$ -generated pro- $p$  group  $G$ . Suppose further that  $\text{im}(\theta) \leq_c 1 + 4\mathbb{Z}_2$  if  $p = 2$ . Then  $G$  is  $\theta$ -abelian if, and only if, there exists a presentation*

$$(3.4) \quad G = \langle x_1, \dots, x_d \mid [x_1, x_i] = x_i^\lambda, [x_i, x_j] = 1, 2 \leq i, j \leq d \rangle,$$

where  $\lambda = \theta(x_1) - 1$  (if  $p = 2$  then  $\lambda \in 4\mathbb{Z}_2$ ).

*Proof.* Let  $G$  be  $\theta$ -abelian, and put  $A = \text{im}(\theta) \leq_c 1 + p\mathbb{Z}_p$ . By hypothesis,  $A$  is cyclic and torsion-free, i.e., either  $A \cong \mathbb{Z}_p$  or  $A = 1$ . In the latter case  $G = Z_\theta(G)$ , namely,  $G$  is abelian. Otherwise one has the short exact sequence

$$1 \longrightarrow Z_\theta(G) \longrightarrow G \xrightarrow{\theta} A \longrightarrow 1,$$

which splits since  $\mathbb{Z}_p$  is a projective pro- $p$  group. This implies that  $G \cong A \rtimes Z_\theta(G)$ , where the action of  $A$  on  $Z_\theta(G)$  is induced by  $\theta$ . Therefore  $A \rtimes Z_\theta(G)$  has a presentation (3.4), where  $d = d(Z_\theta(G)) + 1$ .

Conversely, suppose  $G$  is a pro- $p$  group with presentation (3.4). Then one may construct an orientation  $\theta: G \rightarrow \mathbb{Z}^\times$  such that  $\theta(x_1) = 1 + \lambda$  and  $\theta(x_i) = 1$  for  $i = 2, \dots, d$ . Then  $Z_\theta(G)$  is generated by  $x_2, \dots, x_d$ , and  $G$  is  $\theta$ -abelian.  $\square$

**3.3. Oriented  $\mathbb{Z}_p$ -Lie algebras.** In analogy, we call a  $\mathbb{Z}_p$ -Lie algebra  $L$  together with a continuous homomorphism of Lie algebras  $\theta_L: L \rightarrow \mathbb{Z}_p$ ,  $\text{im}(\theta_L) \subseteq p\mathbb{Z}_p$ , an *oriented  $\mathbb{Z}_p$ -Lie algebra*. Thus also in this case one may define the  $\theta_L$ -center of  $L$  to be the ideal

$$Z_{\theta_L}(L) = \{v \in \ker(\theta_L) \mid \text{ad } x(v) = \theta_L(x).v \text{ for all } x \in L\}.$$

Then  $Z_{\theta_L}(L)$  is an abelian subalgebra of  $L$ . If  $Z_{\theta_L}(L) = \ker(\theta_L)$ , then we call  $L$  a  $\theta_L$ -abelian  $\mathbb{Z}_p$ -Lie algebra.

The following fact is straightforward.

**Fact 3.5.** *A  $\mathbb{Z}_p$ -Lie algebra  $L$  of rank  $d$ , together with an orientation  $\theta_L$ , is  $\theta_L$ -abelian if, and only if,  $L$  has a basis  $\{v_1, \dots, v_d\}$  such that  $(v_1, v_i) = \lambda.v_i$  and  $(v_i, v_j) = 0$  for all  $1 < i, j \leq d$ , where  $\lambda = \theta_L(v_1)$  (if  $p = 2$  then  $\lambda \in 4\mathbb{Z}_2$ ).*

Combining Proposition 3.4 and Fact 3.5, one obtains the following proposition.

**Proposition 3.6.** *A finitely generated uniform pro- $p$  group  $G$  with orientation  $\theta$  is  $\theta$ -abelian if, and only if, the associated Lie algebra  $\log(G)$  has an orientation  $\theta_L$  such that  $\log(G)$  is  $\theta_L$ -abelian. In particular,  $\theta_L = \log(\theta)$  and  $\theta = \exp(\theta_L)$ .*

*Proof.* From the construction of the Lie algebra  $\log(G)$  given by (3.2) and (3.3), and from the presentation (3.4), computations show that if  $G$  is a uniform  $\theta$ -abelian pro- $p$  group then  $\log(G)$  has Lie brackets as in Fact 3.5.

The map  $\log$  from the category of uniform pro- $p$  groups to the category of powerful Lie algebras over  $\mathbb{Z}_p$  is a functor of categories. Moreover, the group structure can be reconstructed from the Lie algebra structure by the well known Baker-Campbell-Hausdorff series. Thus one has the functor  $\exp$  from the category of

powerful  $\mathbb{Z}_p$ -Lie algebras to the category of uniform pro- $p$  groups, which is the inverse of  $\log$ . Namely  $\log$  and  $\exp$  are mutually inverse isomorphisms between the two categories [7, Theorem 9.10].

In particular, one has the following commutative diagram:

$$\begin{array}{ccc} G & \xrightarrow{\theta} & \mathbb{Z}_p^\times \\ \exp \uparrow & & \exp \uparrow \\ L & \xrightarrow{\theta_L} & p.\mathbb{Z}_p \end{array} \quad \begin{array}{c} \log \\ \log \end{array}$$

this yields the claim.  $\square$

### 3.4. Proof of Theorem A.

**Theorem A.** *A finitely generated uniform pro- $p$  group  $G$  is locally powerful if, and only if,  $G$  there exists an orientation  $\theta: G \rightarrow \mathbb{Z}_p^\times$  such that  $(G, \theta)$  is  $\theta$ -abelian.*

*Proof.* If  $G$  is  $\theta$ -abelian, then, by Proposition 3.4,  $G$  is locally powerful and torsion-free.

Conversely, let  $G$  be a torsion-free locally powerful pro- $p$  group with  $d(G) = d \geq 2$ . Thus by Proposition 3.1,  $G$  has a presentation  $G = \langle x_1, \dots, x_d | R \rangle$  with relations as in (3.1). Let  $H_{ij} \leq_c G$  be the closed subgroup generated by the elements  $x_i, x_j$ . Since  $H_{ij}$  is uniform as well, we have that

$$H_{ij} = \left\langle x_i, x_j \mid [x_i, x_j] = x_i^{\lambda_i(i,j)} x_j^{\lambda_j(i,j)}, \lambda_i, \lambda_j \in p.\mathbb{Z}_p \right\rangle,$$

so that  $R = \{[x_i, x_j] = x_i^{\lambda_i(i,j)} x_j^{\lambda_j(i,j)}, 1 \leq i < j \leq d\}$  is the set of relations.

Since an abelian pro- $p$  group is  $\mathbf{1}$ -abelian, where  $\mathbf{1}$  is the trivial orientation, we may assume that  $G$  is not abelian, i.e., we may assume without loss of generality that  $x_1$  and  $x_2$  do not commute.

*Step 1:* First suppose that  $d = 2$ . It is well known that if  $G$  is nonabelian, then  $G$  has a presentation  $\langle x, y | [x, y]y^{-p^k} \rangle$  for some uniquely determined positive integer  $k$  [7, Chapter 4, Exercise 13]. Hence the claim follows from Fact 3.4.

*Step 2:* Suppose  $d = 3$ . By the previously mentioned remark we may choose  $x_1, x_2$  such that  $[x_1, x_2] = x_2^\lambda$ , with  $\lambda \in p.\mathbb{Z}_p$  (resp.  $\lambda \in 4.\mathbb{Z}_2$  if  $p = 2$ ). Thus

$$G = \left\langle x_1, x_2, x_3 \mid [x_1, x_2] = x_2^\lambda, [x_1, x_3] = x_1^{\lambda_1} x_3^{\lambda_2}, [x_2, x_3] = x_2^{\mu_1} x_3^{\mu_2} \right\rangle,$$

with  $\lambda_i, \mu_i \in p.\mathbb{Z}_p$  (resp. in  $4.\mathbb{Z}_2$ ). Let  $H_{ij}$  be the subgroups as defined above, with  $1 \leq i < j \leq 3$ , and let  $L = \log(G)$ . Clearly,  $(x_i, x_j)_n \in H_{ij}$  for all  $n$ . Hence  $(x_i, x_j) \in \text{Span}_{\mathbb{Z}_p} \{x_i, x_j\}$ . In particular, the Lie brackets in  $L$  are such that

$$(x_1, x_2) = \alpha.x_2, \quad (x_2, x_3) = \beta_2.x_2 + \beta_3.x_3, \quad (x_1, x_3) = \gamma_1.x_1 + \gamma_3.x_3,$$

with  $\alpha, \beta_i, \gamma_i \in p.\mathbb{Z}_p$  (resp. in  $4.\mathbb{Z}_2$ ).

By the Jacobi identity, one has

$$\begin{aligned} 0 &= ((x_1, x_2), x_3) + ((x_2, x_3), x_1) + ((x_3, x_1), x_2) \\ &= (\alpha.x_2, x_3) + (\beta_2.x_2 + \beta_3.x_3, x_1) - (\gamma_1.x_1 + \gamma_3.x_3, x_2) \\ &= -\beta_3\gamma_1.x_1 + (\alpha\beta_2 - \alpha\beta_2 - \alpha\gamma_1 + \beta_2\gamma_3).x_2 + (\alpha\beta_3 - \beta_3\gamma_3 + \beta_3\gamma_3).x_3, \end{aligned}$$

hence  $\beta_3\gamma_1.x_1 = 0$ , and thus  $\beta_3 = 0$  or  $\gamma_1 = 0$ .

- (1) If  $\beta_3 = 0$ , then by definition  $(x_2, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_2\}$ , i.e.,  $\text{Span}_{\mathbb{Z}_p}\{x_2\}$  is an ideal of  $L$ . Therefore we may choose without loss of generality  $x_1$  and  $x_3$  such that  $(x_1, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_3\}$ , and  $(x_i, x_2) \in \text{Span}_{\mathbb{Z}_p}\{x_2\}$  for  $i = 1, 3$ .
- (2) If  $\gamma_1 = 0$ , then by definition  $(x_1, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_3\}$ , i.e.,  $\text{Span}_{\mathbb{Z}_p}\{x_2, x_3\}$  is an ideal of  $L$ . Therefore we may choose without loss of generality  $x_2$  and  $x_3$  such that  $(x_2, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_2\}$ , and  $(x_1, x_i) \in \text{Span}_{\mathbb{Z}_p}\{x_i\}$  for  $i = 2, 3$ .

Altogether the Lie brackets in  $L$  are

$$(x_1, x_2) = \alpha' . x_2, \quad (x_2, x_3) = \beta' . x_2, \quad (x_1, x_3) = \gamma' . x_3,$$

with  $\alpha', \beta', \gamma' \in p\mathbb{Z}_p$  (resp. in  $4\mathbb{Z}_2$ ). The matrix of  $\text{ad}(\gamma' . x_3)$  with respect to the basis  $\{x_1, x_2, x_3\}$  is given by

$$\text{ad}(\gamma' . x_3) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \beta' \gamma' & 0 \\ -\gamma'^2 & 0 & 0 \end{pmatrix}.$$

In particular, its trace is  $\text{tr}(\text{ad}(\gamma' . x_3)) = \beta' \gamma'$ . Since  $\text{ad}(\gamma' . x_3) = (\text{ad}(x_1), \text{ad}(x_3))$ , one has  $\text{tr}(\text{ad}(\gamma' . x_3)) = \beta' \gamma' = 0$ . Therefore  $\beta' = 0$  or  $\gamma' = 0$ .

- (1) If  $\beta' = 0$ , let  $v_1 = x_1 + x_2$  and  $v_2 = x_2 + x_3$ . Then  $(v_1, v_2) = \alpha' . x_2 + \gamma' . x_3$ . By Remark 3.2, one has that  $(v_1, v_2) \in \text{Span}_{\mathbb{Z}_p}\{v_1, v_2\}$ . Thus  $(v_1, v_2)$  is necessarily a multiple of  $v_2$ , i.e.,  $\alpha' = \gamma'$ .
- (2) If  $\gamma' = 0$  and  $\beta' \neq 0$ , let  $v = x_1 + x_2$ . Then  $(v, x_3) = \beta' . x_2$ . By Remark 3.2, one has that  $(v, x_3) \in \text{Span}_{\mathbb{Z}_p}\{v, x_3\}$ . In particular, no multiple of  $x_2$  lies in  $\text{Span}_{\mathbb{Z}_p}\{v, x_3\}$ . Therefore, this case is impossible.
- (3) If  $\beta' = \gamma' = 0$  then  $\alpha' = 0$  by (1). So  $L$ , and hence  $G$ , is abelian. But this case was excluded.

This yields  $\beta' = 0$  and  $\alpha' = \gamma' \neq 0$ , with  $\alpha' \in p\mathbb{Z}_p$  (resp. in  $4\mathbb{Z}_2$ ). Therefore, by Fact 3.4 (ii),  $L$  is  $\theta_L$ -abelian, with  $\theta_L(x_1) = \alpha'$ ,  $\theta_L(x_i) = 0$  for  $i = 2, 3$ , and the claim follows from Proposition 3.6.

*Step 3:* Finally, suppose that  $G$  is locally powerful, torsion-free with  $d(G) = n + 1 \geq 4$ , and let  $G$  be generated by  $x_1, \dots, x_{n+1}$ . Since  $G$  is non-abelian we may assume without loss of generality that  $x_1$  and  $x_2$  do not commute.

Let  $H \leq_c G$  be the subgroup generated by  $x_1, \dots, x_n$ . Thus by induction there is a unique (non-trivial) orientation  $\theta: H \rightarrow \mathbb{Z}_p^\times$  such that  $H$  is  $\theta$ -abelian. In particular, we may assume that  $[x_1, x_i] = x_i^\lambda$  and  $[x_i, x_j] = 1$  for all  $2 \leq i, j \leq n$ , where  $\lambda = \theta(x_1) - 1 \in p\mathbb{Z}_p \setminus \{0\}$  (resp. in  $4\mathbb{Z}_2 \setminus \{0\}$  for  $p = 2$ ).

Furthermore, let  $H_i \leq_c G$  be the subgroup generated by  $x_1, x_i, x_{n+1}$ , for  $2 \leq i \leq n$ . By induction, for each  $i$  there exists an orientation  $\theta_i: H_i \rightarrow \mathbb{Z}_p^\times$  such that  $H_i$  is  $\theta_i$ -abelian.

Since  $\theta_i(x_1) = \theta(x_1) = 1 + \lambda$  and  $\theta_i(x_i) = \theta(x_i) = 1$  for all  $i$ , then necessarily  $\theta_i(x_{n+1}) = 1$  for all  $i$ ; i.e.,  $[x_1, x_{n+1}] = x_{n+1}^\lambda$  and  $[x_i, x_{n+1}] = 1$  for all  $i$ . Hence we may extend  $\theta$  to  $G$  such that  $\theta(x_{n+1}) = 1$ . Thus  $G$  is  $\theta$ -abelian.

This establishes the theorem.  $\square$

#### 4. A TITS ALTERNATIVE FOR BLOCH-KATO PRO- $p$ GROUPS

**4.1. Dimension of cohomology groups.** If  $p = 2$  then the cohomology ring of a Bloch-Kato group  $G$  is a quotient of the symmetric algebra  $S^\bullet(H^1(G))$ . On the

other hand, if  $p$  is odd then the cohomology ring of  $G$  is a quotient of the exterior algebra  $\bigwedge_{\bullet}(H^1(G))$ . Thus in this latter case if  $G$  is finitely generated then

$$(4.1) \quad \dim_{\mathbb{F}_p}(H^r(G)) \leq \binom{d(G)}{r} \quad \text{for all } r \geq 0.$$

In fact it is possible to prove a stronger result.

**Proposition 4.1.** *Let  $p$  be odd, and let  $G$  be a finitely generated Bloch-Kato pro- $p$  group. Then*

- (i)  $cd(G) \leq d(G) \leq \dim_{\mathbb{F}_p}(\lambda_2(G)/\lambda_3(G))$ ;
- (ii)  $r(G) \leq \binom{d(G)}{2}$ .

*Proof.* The inequalities  $cd(G) \leq d(G)$  and  $r(G) \leq \binom{d(G)}{2}$  are immediate consequences of (4.1).

The inflation map induces an isomorphism  $\rho = \inf_{G/\Phi(G)}^1$  in degree 1, so that the commutativity of the diagram

$$\begin{array}{ccc} H^1(G/\Phi(G)) \otimes H^1(G/\Phi(G)) & \xrightarrow{\cup} & H^2(G/\Phi(G)) \\ \downarrow \rho \otimes \rho & & \downarrow \inf_{G/\Phi(G)}^2 \\ H^1(G) \otimes H^1(G) & \xrightarrow{\cup} & H^2(G) \end{array}$$

implies that  $\inf_{G/\Phi(G)}^2$  is surjective.

Consider the five terms exact sequence arising from the quotient  $G/\Phi(G)$ . Since  $\rho$  is an isomorphism, it reduces to

$$(4.2) \quad 0 \longrightarrow H^1(\Phi(G))^G \longrightarrow H^2(G/\Phi(G)) \xrightarrow{\inf_{G/\Phi(G)}^2} H^2(G) \longrightarrow 0.$$

Moreover, the group  $H^1(\Phi(G))^G$  is isomorphic to the quotient  $(\lambda_2(G)/\lambda_3(G))^*$  as discrete group, where  $-^*$  denotes the Pontryagin dual.

Since  $G/\Phi(G)$  is a elementary abelian  $p$ -group, the second cohomology group is

$$\begin{aligned} H^2(G/\Phi(G)) &= \beta(H^1(G/\Phi(G))) \oplus (H^1(G/\Phi(G)) \cup H^1(G/\Phi(G))) \\ &\cong H^1(G/\Phi(G)) \oplus (H^1(G/\Phi(G)) \wedge H^1(G/\Phi(G))) \\ &\cong H^1(G) \oplus (H^1(G) \wedge H^1(G)). \end{aligned}$$

From the sequence (4.2) one obtains

$$(4.3) \quad 0 \longrightarrow (\lambda_2(G)/\lambda_3(G))^* \longrightarrow H^1(G) \oplus (H^1(G) \wedge H^1(G)) \longrightarrow H^2(G) \longrightarrow 0.$$

Therefore

$$\begin{aligned} d(G) + \binom{d(G)}{2} &= \dim_{\mathbb{F}_p}(H^1(G) \oplus (H^1(G) \wedge H^1(G))) \\ &= \dim_{\mathbb{F}_p}\left(\frac{\lambda_2(G)}{\lambda_3(G)}\right) + \dim_{\mathbb{F}_p}(H^2(G)) \quad \text{by (4.3)} \\ &= \dim_{\mathbb{F}_p}\left(\frac{\lambda_2(G)}{\lambda_3(G)}\right) + r(G) \\ &\leq \dim_{\mathbb{F}_p}\left(\frac{\lambda_2(G)}{\lambda_3(G)}\right) + \binom{d(G)}{2}, \end{aligned}$$

namely  $d(G) \leq \dim_{\mathbb{F}_p}(\lambda_2(G)/\lambda_3(G))$ . □



*Remark 4.2.* There is no analogue of Proposition 4.1 in case that  $p = 2$ . For a Bloch-Kato pro-2 group  $G$  the exact sequence (4.2) specifies to

$$0 \longrightarrow (\lambda_2(G)/\lambda_3(G))^* \longrightarrow H^2((\mathbb{Z}/2\mathbb{Z})^d) \longrightarrow H^2(G) \longrightarrow 0,$$

and  $\dim(H^2((\mathbb{Z}/2\mathbb{Z})^d)) = \binom{d+1}{2}$ , while  $\dim(H^2(G)) \leq \binom{d+1}{2}$ .

**Proposition 4.3.** *Let  $p$  be odd, and let  $G$  be a Bloch-Kato pro- $p$  group such that  $cd(G) = d(G)$ . Then the cohomology ring  $H^\bullet(G)$  is isomorphic to the  $\mathbb{F}_p$ -exterior algebra  $\bigwedge_\bullet(H^1(G))$ .*

*Proof.* Let  $H^1(G)$  be freely generated by  $\chi_1, \dots, \chi_d$  as  $\mathbb{F}_p$  vector space, and suppose for contradiction that  $H^\bullet(G)$  is a non-trivial quotient of  $\bigwedge_\bullet(H^1(G))$ . Since  $H^\bullet(G)$  is quadratic, there is a non-trivial relation in  $H^1(G) \wedge H^1(G)$ . Thus we may assume without loss of generality that

$$\chi_1 \cup \chi_2 = \sum_{(i,j) \neq (1,2)} a_{ij} \cdot \chi_i \cup \chi_j,$$

with  $i < j$  and  $a_{ij} \in \mathbb{F}_p$ . This implies that

$$\chi_1 \cup \chi_2 \cup \dots \cup \chi_d = \sum_{(i,j) \neq (1,2)} a_{ij} \cdot \chi_i \cup \chi_j \cup \chi_3 \cup \dots \cup \chi_d = 0,$$

namely  $H^d(G) = \text{Span}_{\mathbb{F}_p}\{\chi_1 \cup \dots \cup \chi_d\} = 0$ , a contradiction. This yields the claim.  $\square$

**4.2. Powerful groups and the cup product.** The following theorem is due to P. Symonds and Th. Weigel:

**Theorem 4.4** ([21], Theorem 5.1.6). *Let  $G$  be a finitely generated pro- $p$  group. Then the map*

$$\Lambda_2(\cup): H^1(G) \wedge H^1(G) \longrightarrow H^2(G)$$

*induced by the cup product is injective if, and only if,  $G$  is powerful.*

Let  $G$  be a pro- $p$  group, and let  $H$  be a closed subgroup of  $G$ . Then we call  $H$  *properly embedded* in  $G$ , if the canonical map  $H/\Phi(H) \rightarrow G/\Phi(G)$  is injective. The following fact is a direct consequence of Pontryagin duality.

**Fact 4.5.** *Let  $G$  be a pro- $p$  group, and let  $H$  be a closed subgroup of  $G$ . Then the following are equivalent.*

- (i)  $H$  is properly embedded in  $G$ .
- (ii)  $\text{res}_{G,H}^1: H^1(G, \mathbb{F}_p) \rightarrow H^1(H, \mathbb{F}_p)$  is surjective.

**Theorem 4.6.** *Let  $p$  be an odd prime, and let  $G$  be a Bloch-Kato pro- $p$  group. Then the following are equivalent:*

- (i)  $G$  does not contain non-abelian closed free pro- $p$  subgroups.
- (ii)  $G$  is locally powerful.
- (iii) there exists an orientation  $\theta: G \rightarrow \mathbb{Z}_p^\times$  such that  $(G, \theta)$  is  $\theta$ -abelian. In particular,  $G$  is metabelian.

Moreover, if  $G$  is finitely generated, then (i), (ii), and (iii) are equivalent to:

- (iv)  $G$  is  $p$ -adic analytic.

*Proof.* Suppose that (i) holds and that  $G$  is not locally powerful. Then there exists a finitely generated subgroup  $K \leq_c G$  which is not powerful. In particular, the map

$$\Lambda_2(\cup): H^1(K) \wedge H^1(K) \longrightarrow H^2(K)$$

is not injective. Let  $\chi_1, \dots, \chi_r$  be an  $\mathbb{F}_p$ -basis of the  $\mathbb{F}_p$ -vector space  $H^1(K)$ . Thus there exists a non-trivial element

$$\eta = \sum_{1 \leq i < j \leq r} a_{ij} \cdot \chi_i \wedge \chi_j \in \ker(\Lambda_2(\cup)).$$

As  $\eta \neq 0$ , there exist  $m, n \in \{1, \dots, r\}$ ,  $m < n$ , such that  $a_{mn} \neq 0$ . Let  $x_1, \dots, x_r \in K$  be a minimal generating system of  $K$  satisfying  $\chi_i(x_j) = \delta_{ij}$  for all  $i, j \in \{1, \dots, r\}$ , and let  $S = \langle x_m, x_n \rangle$ . Then  $S$  is properly embedded in  $K$ ,  $\rho = \text{res}_{K,S}^1: H^1(K) \rightarrow H^1(S)$  is surjective, and, by construction,  $\ker(\rho) = \text{Span}_{\mathbb{F}_p} \{ \chi_i \mid 1 \leq i \leq r, i \neq n, m \}$ . From the surjectivity of  $\rho \wedge \rho$  and the commutativity of the diagram

$$\begin{array}{ccc} H^1(K) \wedge H^1(K) & \xrightarrow{\Lambda_2(\cup)} & H^2(K) \\ \rho \wedge \rho \downarrow & & \downarrow \text{res}_{K,S}^2 \\ H^1(S) \wedge H^1(S) & \xrightarrow{\Lambda_2(\cup)} & H^2(S) \end{array}$$

one concludes that the map  $\Lambda_2(\cup): H^1(S) \wedge H^1(S) \rightarrow H^2(S)$  is the 0-map. Thus – as  $S$  is Bloch-Kato –  $H^2(S) = 0$ , i.e.,  $S$  is a 2-generated free pro- $p$  group (see [18, Proposition 3.5.17]), a contradiction. This shows that (i) implies (ii).

The implication (ii)  $\Rightarrow$  (i) follows from the fact that a free pro- $p$  group which is powerful must be cyclic. Moreover, the equivalence (ii)  $\Leftrightarrow$  (iii) follows from Theorem A. If  $G$  is finitely generated, the implication (ii)  $\Rightarrow$  (iv) is well known (see [7, Theorem 8.18]), whereas the implication (iv)  $\Rightarrow$  (i) follows from [7, Theorem 8.32]. This yields the claim.  $\square$

*Remark 4.7.* Notice that in the proof we do not require the group  $G$  to be Bloch-Kato; in fact it is enough to assume that the cohomology of every closed subgroup of  $G$  is decomposable, i.e., it is generated in degree one (thus  $G$  is *almost* Bloch-Kato, in the language of [3]).

**Corollary 4.8.** *Let  $p$  be an odd prime, and let  $G$  be a Bloch-Kato pro- $p$  group. Then the following are equivalent*

- (i)  $G$  is powerful.
- (ii)  $G$  contains no free pro- $p$  groups of infinite rank.
- (iii) There exists an orientation  $\theta: G \rightarrow \mathbb{Z}_p^\times$  such that  $G$  is  $\theta$ -abelian.

Furthermore, if  $G$  is finitely generated, these properties are equivalent to

- (iv)  $G$  is  $p$ -adic analytic.
- (v)  $cd(G) = d(G)$ .
- (vi)  $H^\bullet(G) \cong \bigwedge_\bullet ((G/\Phi(G))^*)$ .

As we stressed in §2, Bloch-Kato groups arise naturally as maximal pro- $p$  Galois groups and  $p$ -Sylow subgroups of absolute Galois groups. Thus the above results provide strong restrictions to such groups. In particular, one obtains the following result:

**Corollary 4.9.** *Let  $F$  be a field, such that  $G_F(p)$  is a metabelian pro- $p$  group (i.e., the commutator subgroup of  $G_F(p)$  is abelian). If  $F \supseteq \mu_p$  then  $G_F(p)$  has generators  $\{\sigma, \rho_i\}_{i \in \mathcal{I}}$  with relations  $[\rho_i, \rho_j] = 1$  and  $\rho_i^\sigma = \rho_i^{q+1}$ , where  $q = 0$  if  $\mu_{p^k} \subseteq F$  for all  $k \geq 1$ , or  $q = p^n$ , where  $n$  is the largest integer such that  $F \supseteq \mu_{p^n}$ .*

This corollary provides the answer to a question raised by R. Ware in his paper [27, page 727]. Indeed he managed to prove that  $G_F(p)$  has such a presentation if  $F$  contains also a  $p^2$ th root of unity (and not only a  $p$ th root), though it seemed reasonable that such an assumption is not necessary – as, in fact, it is not.

In this case, the suitable orientation  $\theta$  of  $G_F(p)$  is the cyclotomic character, i.e., the map

$$\theta: G_F(p) \longrightarrow \text{End}_F(\mu_{p^\infty}) \cong \mathbb{Z}_p^\times,$$

where  $\mu_{p^\infty} \leq \bar{F}^{sep}$  denotes the group of roots of unity of  $p$ -power order.

In particular, the  $\theta$ -center is  $Z_\theta(G_F(p)) = G_L(p)$ , where  $L = F(\mu_{p^\infty})$ .

*Example 4.10.* Let  $q = p^n$  be a (non-trivial)  $p$ -power, and let  $F$  be the field  $F = k((\mathfrak{X}))$ , where  $k = \mathbb{F}_\ell(\mu_q)$ , with  $\ell \equiv 1 \pmod{p}$ , and  $\mathfrak{X} = \{X_1, \dots, X_n\}$ . Then  $G_F(p)$  has generators  $\{\sigma, \rho_i\}_{i=1}^n$  with relations  $[\rho_i, \rho_j] = 1$  and  $\rho_i^\sigma = \rho_i^{q+1}$ . Furthermore, if  $\mu_q \subseteq k$  for every  $p$ -power  $q$ , then  $G_F(p)$  is abelian, i.e.,  $G_F(p) \cong \mathbb{Z}_p^n$ .

The case  $p = 2$  is more subtle, since the pro-2 version for Theorem 4.4 is more involuted. Thus it turns out that it is impossible to state Theorem B also for Bloch-Kato pro-2. For example the pro-2 dihedral group

$$C_2 \rtimes \mathbb{Z}_2(2) = \langle \sigma, \rho \mid \sigma^2 = 1, \sigma \rho = \rho^{-1} \rangle$$

is  $\theta$ -abelian, with  $\theta(\sigma) = -1$ ,  $\theta(\rho) = 1$ , and it contains no non-abelian closed free pro-2 subgroups, yet it is not powerful.

Nevertheless, it is possible to get a similar result when we add more restrictions to  $G$ , and using [31, Theorem C].

**Theorem 4.11.** *Let  $G$  be a Bloch-Kato pro-2 group such that  $G$  is torsion-free, and assume that the first Bockstein homomorphism  $\beta: H^1(G) \rightarrow H^2(G)$  is trivial. Then the following are equivalent:*

- (i) *Every non-trivial closed free subgroup of  $G$  is cyclic.*
- (ii)  *$G$  is locally powerful.*
- (iii) *there exists an orientation  $\theta: G \rightarrow \mathbb{Z}_2^\times$  such that  $(G, \theta)$  is  $\theta$ -abelian.*

## 5. THE CLASS OF BLOCH-KATO PRO- $p$ GROUPS

Some time ago I. Efrat has formulated a conjecture – the so called “elementary type conjecture” – for maximal pro- $p$  Galois groups, which states that the group structure of maximal pro- $p$  Galois groups of some fields is very restricted, namely such groups are free pro- $p$  products and semidirect products of certain pro- $p$  groups (see [9], [12]).

It seems very difficult to decide whether such an “elementary type” conjecture should hold already for the class of finitely generated Bloch-Kato pro- $p$  groups. All known examples of Bloch-Kato pro- $p$  groups have this property, but apart from this fact there is little evidence.

For this reason we investigate certain closure operations for the class of Bloch-Kato pro- $p$  groups.

### 5.1. Projective limits and free products of Bloch-Kato groups.

**Proposition 5.1.** *Let  $\{G_i, \pi_{ij}\}_{i \in I}$  be projective system of Bloch-Kato pro- $p$  groups with  $\pi_{ij}$  surjective for all  $i \leq j$ , such that the maps*

$$\inf_{i,j}^\bullet : H^\bullet(G_j) \rightarrow H^\bullet(G_i)$$

*induced by  $\pi_{ij} : G_j \rightarrow G_i$  are injective for any  $i \leq j$ . Then for  $\hat{G} = \varprojlim_i G_i$ , the cohomology ring  $H^\bullet(\hat{G})$  is quadratic.*

*Proof.* It is well known that

$$\varinjlim_{i \in I} H^n(G_i) \cong H^n(\hat{G})$$

for every  $n \geq 0$  [18, Proposition 1.5.1].

Moreover, the class of quadratic  $\mathbb{F}_p$ -algebras is closed under certain direct limits: namely if  $A_\bullet^i$  is a quadratic  $\mathbb{F}_p$ -algebra for all  $i \geq 0$  with  $A_\bullet = \varinjlim_i A_\bullet^i$  and such that the maps  $A_n^i \rightarrow A_n^j$  are injective for all  $i \leq j$ , then  $A_\bullet$  is quadratic. This implies that  $H^\bullet(\hat{G})$  is quadratic.  $\square$

In order to state and prove the following theorem, we need O. Mel'nikov's version of the Kurosh subgroup theorem for free pro- $p$  products (see [17]).

Let  $T$  be a profinite space, and let  $\{G_t\}_{t \in T}$  be a family of pro- $p$  groups. Then such a family defines a *sheaf*  $\mathcal{G}$  of pro- $p$  groups, i.e., a profinite space  $\mathcal{G}$  together with a continuous surjection  $\gamma : \mathcal{G} \rightarrow T$  such that for all  $t \in T$ ,  $\gamma^{-1}(t) = G_t$ , and the group operation of  $G_t$  depends continuously on  $t$ . The free pro- $p$  product of the family  $\{G_t\}$  is the pro- $p$  group  $G = \coprod_t G_t$  together with a morphism  $\iota : \mathcal{G} \rightarrow G$  such that for any pro- $p$  group  $H$  and for any continuous map  $\varphi : \mathcal{G} \rightarrow H$  whose restrictions  $\varphi|_{G_t} : G_t \rightarrow H$  are all homomorphisms of pro- $p$  groups, there exists a unique homomorphism  $\tilde{\varphi} : G \rightarrow H$  such that  $\tilde{\varphi} \circ \iota = \varphi$ .

**Theorem 5.2.** *Let  $G = \coprod_t G_t$  be the free product in the category of pro- $p$  groups of a family of Bloch-Kato pro- $p$  groups  $\{G_t\}_{t \in T}$ , where  $T$  is a profinite space. Then  $G$  is a Bloch-Kato pro- $p$  group. In particular, the free pro- $p$  product of two Bloch-Kato pro- $p$  groups  $G_1$  and  $G_2$  is a Bloch-Kato pro- $p$  group.*

*Proof.* Let  $K$  be a closed subgroup of  $G$ . Then by [17, Theorem 4.3] it is possible to decompose  $K$  in the following way:

$$K = \left( \coprod_{t \in T} \left( \coprod_{K \setminus G/G_t} (K \cap G_t^r) \right) \right) \sqcup S,$$

where  $S$  is a free pro- $p$  group and the  $r$  vary over a set  $\mathcal{R}_t \subset G$  of representatives of the coset space  $K \setminus G/G_t$  – which is profinite.

In particular,  $K$  is the free pro- $p$  product (over a profinite set) of closed subgroups of the groups  $G_t$ . Let  $K_t = \coprod_{r \in \mathcal{R}_t} (K \cap G_t^r)$ , so that  $K = S \sqcup (\coprod_t K_t)$ . As a consequence of [17, Theorems 4.1 and 4.2], one has that the homology corestriction maps

$$\begin{aligned} \text{cor}_n^{K_t} : \bigoplus_{K \setminus G/G_t} H_n(K \cap G_t^r, \mathbb{F}_p) &\longrightarrow H_n(K_t, \mathbb{F}_p), \quad \text{and} \\ \text{cor}_n^K : \bigoplus_{t \in T} H_n(K_t, \mathbb{F}_p) &\longrightarrow H_n(K, \mathbb{F}_p) \end{aligned}$$

are isomorphisms for  $n \geq 1$ . By Pontryagin duality, i.e.,

$$H_\bullet(G, \mathbb{F}_p)^* \cong H^\bullet(G, \mathbb{F}_p^*),$$

also the cohomology restriction maps

$$(5.1) \quad \text{res}_{K_t}^n: H^n(K_t) \longrightarrow \bigoplus_{K \setminus G/G_t} H^n(K \cap G_t^r), \quad \text{and}$$

$$(5.2) \quad \text{res}_K^n: H^n(K) \longrightarrow \bigoplus_{t \in T} H^n(K_t)$$

are isomorphisms for  $n \geq 1$ . Since the groups  $G_t$  are Bloch-Kato pro- $p$  groups, the cohomology rings  $H^\bullet(K \cap G_t^r)$  are quadratic. Thus, by (5.1) and (5.2) also the cohomology rings  $H^\bullet(K_t)$  and  $H^\bullet(K)$  are quadratic. Therefore  $G$  is Bloch-Kato.  $\square$

**5.2. Direct products of Bloch-Kato groups.** It seems natural to consider direct products of Bloch-Kato pro- $p$  groups. The next result is a consequence of Theorem A:

**Proposition 5.3.** *The direct product of a powerful non-abelian Bloch-Kato group  $G$  with any pro- $p$  group is not Bloch-Kato.*

*Proof.* Let  $\tilde{G} = G \times \mathbb{Z}_p$ , with  $G$   $\theta$ -abelian, but not abelian, and suppose  $\tilde{G}$  is Bloch-Kato. Since  $\tilde{G}$  contains no free pro- $p$  groups of rank greater than 1,  $\tilde{G}$  must be  $\tilde{\theta}$ -abelian, for some orientation  $\tilde{\theta}: \tilde{G} \rightarrow \mathbb{Z}_p^\times$  such that  $\tilde{\theta}|_G = \theta$ . But the action of  $G$  on  $\mathbb{Z}_p$  is trivial, and  $G$  is non-abelian (i.e.,  $\theta$  is not trivial), thus  $\mathbb{Z}_p \not\leq Z_\theta(G)$ , and  $\tilde{G}$  cannot be  $\tilde{\theta}$ -abelian, a contradiction. Therefore  $\tilde{G}$  is not Bloch-Kato, and this implies that the direct product of a powerful non-abelian Bloch-Kato group with any non-trivial pro- $p$  group is not Bloch-Kato.  $\square$

However, one has the following.

**Theorem 5.4.** *Let  $S$  be a free pro- $p$  group, and let  $\tilde{G} = \mathbb{Z}_p \times S$ . Then  $\tilde{G}$  is a Bloch-Kato pro- $p$  group.*

*Proof.* First of all we show that the cohomology ring  $H^\bullet(\tilde{G})$  is a quadratic  $\mathbb{F}_p$ -algebra. By [18, Theorem 2.4.6], one has that

$$H^n(\tilde{G}) = H^n(S) \oplus H^{n-1}(S), \quad \text{for } n \geq 1.$$

In particular, let  $\{x_i\}_{i \in I}$  be a set of free generators of  $S$ , and let  $y$  be a generator of  $\mathbb{Z}_p$ . Moreover, let  $x_i^* \in H^1(S)$  be the Pontryagin dual of  $x_i$ , for all  $i \in I$ , and let  $y^* \in H^1(\mathbb{Z}_p)$  be the dual of  $y$ . Then

$$H^\bullet(\tilde{G}) = \mathbb{F}_p \oplus \text{Span}_{\mathbb{F}_p} \{x_i^*, y^*\}_{i \in I} \oplus \text{Span}_{\mathbb{F}_p} \{x_i^* \cup y^*\}_{i \in I},$$

namely,  $H^\bullet(\tilde{G})$  is a quadratic  $\mathbb{F}_p$ -algebra.

Let  $K$  be a closed subgroup of  $\tilde{G}$ , and put  $N = K \cap S$ . Then  $N$  is a free pro- $p$  group, and  $N \triangleleft_c K$ , moreover one has the following commutative diagram:

$$\begin{array}{ccccccc} 1 & \longrightarrow & S & \longrightarrow & \tilde{G} & \longrightarrow & \mathbb{Z}_p \longrightarrow 1 \\ & & \uparrow & & \uparrow & & \uparrow \\ 1 & \longrightarrow & N & \longrightarrow & K & \longrightarrow & A \longrightarrow 1 \end{array}$$

where either  $A$  is isomorphic to  $\mathbb{Z}_p$  or it is trivial. In the latter case  $K \cong N$ , and  $K$  is a Bloch-Kato group. Otherwise, the lower line of the diagram becomes

$$(5.3) \quad 1 \longrightarrow N \longrightarrow K \longrightarrow \mathbb{Z}_p \longrightarrow 1.$$

Since  $\mathbb{Z}_p$  is a projective pro- $p$  group, (5.3) splits, and we have the isomorphism  $K \cong \mathbb{Z}_p \ltimes N$ . In particular,  $K \cong \mathbb{Z}_p \times N$ , since  $A \leq Z(\tilde{G})$ . Therefore, the above argument implies that  $H^\bullet(K)$  is a quadratic  $\mathbb{F}_p$ -algebra, and this proves the theorem.  $\square$

On the other hand, direct products of non-abelian free pro- $p$  groups are not Bloch-Kato. For the proof of the next theorem we make use of the following fact, the easy proof of which we leave to the reader.

**Fact 5.5.** *Let  $G$  be a finite  $p$ -group, and let  $M$  be a finitely generated left  $\mathbb{F}_p[G]$ -module. Then*

$$(5.4) \quad \dim_{\mathbb{F}_p}(M^G) \cdot |C| \geq \dim_{\mathbb{F}_p}(M)$$

**Theorem 5.6.** *Let  $F_2$  be a 2-generated free pro- $p$  group. Then  $F_2 \times F_2$  is not Bloch-Kato.*

*Proof.* Let  $F_2$  be generated by  $x$  and  $r$ , and consider the presentation

$$1 \longrightarrow R \longrightarrow F_2 \xrightarrow{\varphi} \mathbb{Z}_p \longrightarrow 1,$$

where  $R$  is generated as closed normal subgroup by  $r$ . We call  $\Gamma = F_2 \times_{\varphi} F_2$  the pullback object of the diagram

$$\begin{array}{ccc} \Gamma & \longrightarrow & F_2 \\ \downarrow & & \downarrow \varphi \\ F_2 & \xrightarrow{\varphi} & \mathbb{Z}_p \end{array}$$

in the category of pro- $p$  groups. Namely,

$$\Gamma = F_2 \times_{\varphi} F_2 = \{(\xi, \xi') \in F_2 \times F_2 \mid \varphi(\xi) = \varphi(\xi')\} \leq_c F_2 \times F_2.$$

In particular,  $\Gamma$  is generated by the pairs  $(x, x)$ ,  $(r, r)$  and  $(r, 1)$ . In fact, every element  $(y, y') \in \Gamma$  can be written as

$$(5.5) \quad (y, y') = (\xi, \xi)(\rho, 1) = (\rho', 1)(\xi, \xi),$$

with  $\xi \in F_2$  and  $\rho, \rho' \in R$ .

Let  $R^{\text{ab}} = R/[R, R]$  be the abelianization of  $R$ , and let  $H = R^{\text{ab}} \rtimes \mathbb{Z}_p$ , where the action of  $\mathbb{Z}_p$  on  $R^{\text{ab}}$  is induced by the conjugation of  $F_2$ . Moreover, let  $D \triangleleft_c \Gamma$  be generated as closed normal subgroup of  $\Gamma$  by  $(r, r)$ .

By (5.5), the semidirect product  $R \rtimes F_2$  maps onto  $\Gamma$  via the map  $\varphi'$ , where

$$\varphi'(\rho, \xi) = (\rho, 1)(\xi, \xi), \quad \text{with } \rho \in R, \xi \in F_2,$$

which is easily seen to be a homomorphism. Furthermore,  $\varphi'$  is injective, so  $R \rtimes F_2$  is isomorphic to  $\Gamma$ .

Suppose that  $\varphi'(\rho, \xi) = (\rho, 1)(\xi, \xi) \in D$ . Thus  $\xi \in R$ , which implies that  $(\xi, \xi), (\rho, 1) \in D$ . By (5.5), one has that every element of  $D$  is generated by elements  $(\xi\rho, \rho)$ , with  $\rho, \xi \in R$ . Furthermore,

$$\xi_1 \rho_1 \cdot \xi_2 \rho_2 = \xi_1(\rho_1 \rho_2) y, \quad \text{with } \rho_i, \xi_i \in R, y \in [R, R].$$

Thus, a limit argument shows that  $(\rho, 1) \in D$  if, and only if,  $\rho \in [R, R]$ . This implies that

$$\frac{\Gamma}{D} \cong H = \frac{R \rtimes F_2}{[R, R] \rtimes R}.$$

We want to show that  $\Gamma$  is not finitely presented. Assume for contradiction that it is. Then also  $H$  is finitely presented, since  $D$  is finitely generated as normal subgroup of  $\Gamma$ .

**Claim 1.** *The group  $H$  is not finitely presented, i.e.,  $r(H) = \infty$ .*

The Hochschild-Lyndon-Serre spectral sequence  $H^r(\mathbb{Z}_p, H^s(R^{\text{ab}})) \Rightarrow H^{r+s}(H)$  collapses at the  $E_2$ -term, i.e.,  $E_\infty = E_2$ , since  $cd(\mathbb{Z}_p) = 1$ . Thus one has the following exact sequence:

$$(5.6) \quad 0 \longrightarrow H^1(\mathbb{Z}_p, H^1(R^{\text{ab}})) \longrightarrow H^2(H) \longrightarrow H^2(R^{\text{ab}})^{\mathbb{Z}_p} \longrightarrow 0.$$

Since  $R$  is one-generated as normal subgroup, the group  $R^{\text{ab}}$  is isomorphic to the completed group algebra  $\mathbb{Z}_p[[\mathbb{Z}_p]]$ . Thus the first cohomology group  $H^1(R^{\text{ab}})$  is isomorphic to  $(\mathbb{F}_p[[\mathbb{Z}_p]])^*$ , and the second cohomology group  $H^2(R^{\text{ab}})$  is isomorphic to the second exterior algebra  $\Lambda_2(\mathbb{F}_p[[\mathbb{Z}_p]])^*$ . Since  $\mathbb{F}_p[[\mathbb{Z}_p]] \cong \varprojlim_k \mathbb{F}_p[[C_{p^k}]]$ , where  $C_{p^k}$  is the cyclic group of order  $p^k$ , one has

$$H^2(R^{\text{ab}})^{\mathbb{Z}_p} \cong \varprojlim_{k, U_k} \Lambda_2(\mathbb{F}_p[[C_{p^k}]]^*)^{\mathbb{Z}_p/U_k},$$

where  $U_k \triangleleft_o \mathbb{Z}_p$  is such that  $\mathbb{Z}_p/U_k \cong C_{p^k}$ .

Therefore, Fact 5.5 implies that for all  $k \geq 1$  one has

$$\dim_{\mathbb{F}_p} \left( \Lambda_2(\mathbb{F}_p[[C_{p^k}]]^*)^{\mathbb{Z}_p/U_k} \right) \geq \frac{1}{|\mathbb{Z}_p/U_k|} \dim_{\mathbb{F}_p} \Lambda_2(\mathbb{F}_p[[C_{p^k}]]^*) = \frac{1}{p^k} \frac{p^k(p^k - 1)}{2}.$$

Thus  $\dim(H^2(R^{\text{ab}})^{\mathbb{Z}_p}) \geq (p^k - 1)/2$  for all  $k \geq 1$ , i.e.,  $H^2(R^{\text{ab}})^{\mathbb{Z}_p}$  has infinite dimension, and so by (5.6)  $\dim(H^2(H)) = r(H) = \infty$ . This establishes the claim.

Therefore the claim implies that  $\Gamma$  is not finitely presented. In particular,  $\dim(H^2(\Gamma)) = r(\Gamma) = \infty$ , whereas  $\dim(H^1(\Gamma)) = d(\Gamma) = 3$ . Hence  $H^\bullet(\Gamma)$  is not quadratic, and  $F_2 \times F_2$  is not Bloch-Kato.  $\square$

*Remark 5.7.* In particular, Theorem 5.6 shows that  $F_2 \times F_2$  is not a *coherent* pro- $p$  group, i.e., it contains a finitely generated group which is not finitely presented. By Proposition 4.1, any finitely generated Bloch-Kato pro- $p$  group is a coherent group.

Now Theorem C is the combination of Proposition 5.3, Theorem 5.4 and Theorem 5.6.

**Theorem C.** *Let  $G_1$  and  $G_2$  be Bloch-Kato pro- $p$  groups, and assume that  $G_1 \times G_2$  is Bloch-Kato as well. Then the following restrictions hold:*

- (i) *None of  $G_1$  and  $G_2$  is a powerful non-abelian Bloch-Kato group;*
- (ii) *at least one of the two groups is abelian.*

*In particular,  $\mathbb{Z}_p \times S$  is a Bloch-Kato pro- $p$  group for any free pro- $p$  group  $S$ .*

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